



**Department
of Health**

Office of
Quality and
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Database



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Office of Quality and Patient Safety

Division of Information and Statistics

Original Source Data Submitter Project (OSDS)

Issuer Informational Session #1

Presented by: NYS All Payer Database and Optum Government Solutions, Inc.

February 2019 - Empire State Plaza, Albany NY

OSDS Project Issuer Informational Session #1 Agenda

Statutory Authority

Project Overview and Approach

Submission Specifications and Process Flow

Communication Strategy

Project Timeline

Discussion Items

Questions and Answers

Statutory Authority for APD Data Submission

- Public Health Law Section 2816 authorizes DOH to collect covered person data and claims data in its APD (“APD Data”)
- “APD Data Submitters” must submit complete, accurate, and timely data to the APD
- Includes third-party health care payers as defined by DOH regulation at 10 NYCRR Section 350.1, means an insurer, organization, or corporation licensed or certified pursuant to:
 - Article 42, 43, or 47 of the Insurance Law; or
 - Article 44 of the Public Health Law; or
 - An entity, such as a pharmacy benefits manager, fiscal administrator, or administrative services provider that participates in the administration of a third-party health care payer system, including any health plan under 42 USC § 1320d.
- Unless permitted by federal law, self-insured health plans regulated ERISA are not required to submit data to the APD, although such plans that operate in New York State may choose to participate as a voluntary data submitter.

OSDS Project Overview

- New York State will receive submissions of off-exchange commercial enrollment and encounter data to support the required functions of the APD. Encounter data from Qualified Health Plans, NYS Essential Plans, NYS Child Health Plus Plans, and NYS Medicaid Managed Care Plans will continue to be reported.
- The **APD Original Source Data Submitter (OSDS)** system is being developed by Optum Government Solutions.
- Post-adjudicated standard transactions (X12 and NCPDP) have been adopted to closely align with HIPAA standard transactions and support the reduction of the administrative burdens on issuers reporting to APCDs in multiple states.
- It is anticipated that Submitter Testing will begin at least four months prior to implementation.

OSDS Project Approach

Optum Government Solutions, Inc. will provide a solution for the collection of medical, dental, and pharmacy encounter data and off-exchange commercial member data.

The solution will replace the current Encounter Intake System (EIS); add collection of off-exchange commercial encounter data; and add collection of off-exchange commercial member data.

- Current EIS Encounter Data Collection
 - Qualified Health Plans (QHP)
 - Essential Plans (EP)
 - Medicaid Managed Care (MMC)
 - Child Health Plus (CHP)
- New OSDS Collection
 - Off-Exchange commercial encounter and member data
 - QHP, EP, MMC and CHP encounter data

APD Submission Transactions

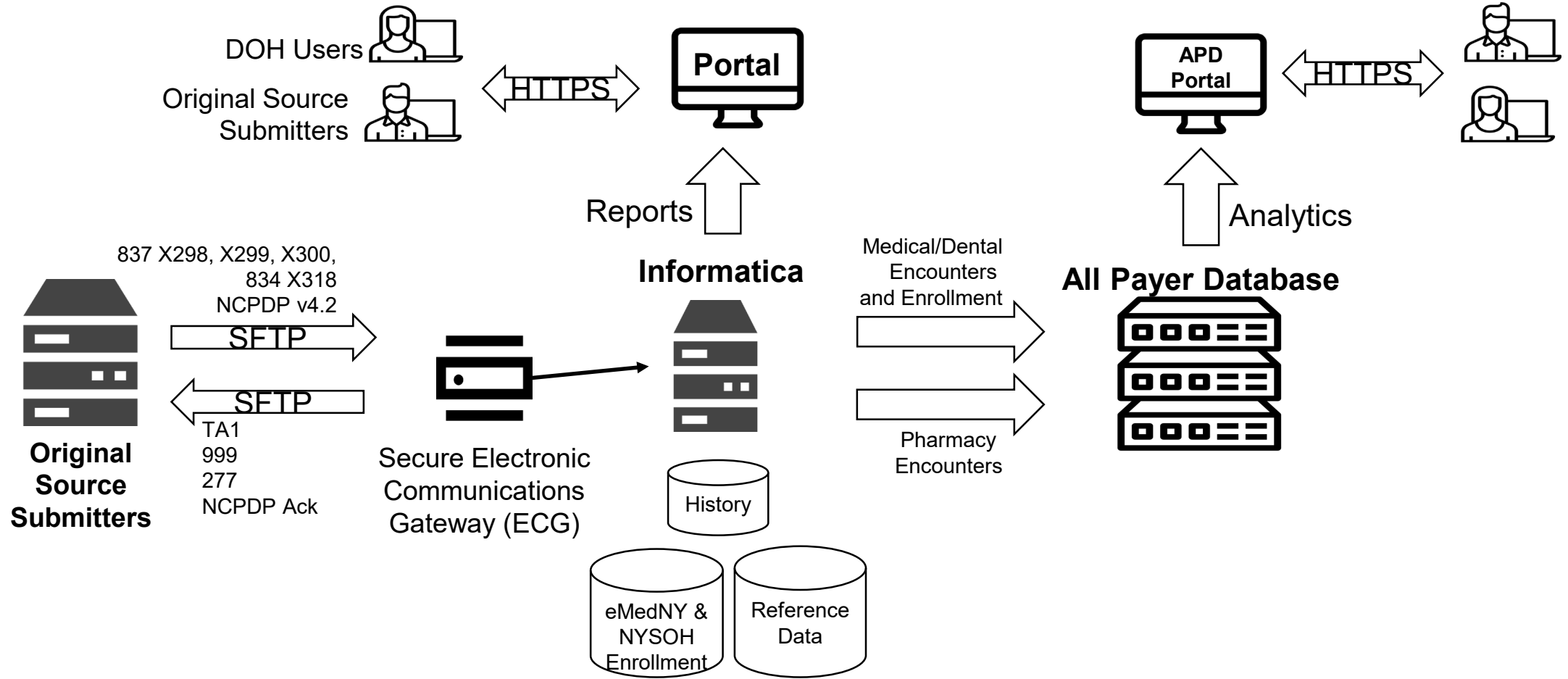
Full implementation guide specifications must be purchased from X12 and NCPDP

- Off-exchange, commercial member data – X12 Plan Member Reporting 834 (X318) format;
- Medical and dental encounter data – X12 Post Adjudicated Claims Data Reporting (PACDR) 837 (X298, X299, X300) format; and
- Pharmacy encounter data – National Council for Prescription Drug Programs Post Adjudication Standard (NCPDP) v4.2 format

APD Submission Specifications

- A revised Companion Guide (CG) will be developed for OSDS member and encounter data submission. The revised CG and other implementation related information will be available on the NYS Health Connector:
<https://nyshc.health.ny.gov/web/nyapd/apd-submitters>
- An APD Data Submitter letter was released on Monday, November 26, 2018 and followed-up by an additional email notification on Tuesday, January 29, 2019

A Broad Overview of the APD Original Source Data Submitter Project



Current EIS Submitters

- Submitters will have time to test the new system, then transition their encounter data submission to OSDS production
- While the OSDS is being developed, current submitters will continue to submit CHP, QHP, EP and MMC encounter data to the current EIS to ensure no disruption in essential encounter data collection
 - NY State of Health and eMedNY enrollment feeds will continue to be used for encounter data validation
- Current submitters may experience some changes to data submission specifications (e.g., CHP reported separate from MMC)
- There will not be parallel system processing of encounter data submissions
- It is anticipated that the transition from EIS to OSDS will be serial (after allowance of a sufficient testing period)

Off-Exchange Commercial Submitters

- Off-exchange commercial submitters will be given time to test the submission of enrollment and encounter data before implementation
- At the end of interactive system testing, off-exchange commercial submitters will begin to submit enrollment and encounter data to OSDS production for service dates January 1, 2018 forward

OSDS Communication Strategy

NYS Health
Connector

APD OSDS
Email

Periodic
Informational
Meetings

Companion
Guides

APD Data Submitter Communication

- APD submitter information will be available on the NYS Health Connector in the APD Data Submitters section <https://nyshc.health.ny.gov/web/nyapd/apd-submitters>
- Submitters were first formally notified on November 26, 2018 of the OSDS project and followed-up by an additional email notification on Tuesday, January 29, 2019, submission specifications and associated timelines
 - Question & Answer document will be developed and continually updated throughout project, first set of questions are from the November 26, 2018 APD Encounters Issuer Weekly Status Meeting
- Periodic APD Encounters Submitter Status Meetings will be held as a forum for discussion and questions

OSDS Project Timeline



OSDS Submission Discussion Topics

- MMC and CHP will be split into distinct Lines of Business
- Unique issuer and plan IDs are required for all Issuers/Third-Party Payers such as a HIOS ID.
- Adjustment, Duplicate, Void Process will be enhanced
 - Subscriber IDs used in matching process
 - Require unique ICN per HIOS/LOB/submission combination
- Reporting of adjudicated DRG inclusive of DRG Type and Grouper version
- Allow only one instance of adjudication information for the submitting payer per encounter (in technical terms, only one instance of 2320 and subordinate loops where SBR6 = 6 is allowed per encounter)
- Collection of unique patient records: Patient ID or Subscriber ID
- Premium amounts are required for off-exchange member data submissions
- 834 Acknowledgements

Questions and Answers



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