NEW YORK STATE | **Department of Health** | **All Payer Database**

Original Source Data Submitter Project

Release Notes for APD Data Submitters Required to Migrate from the Encounter Intake System (EIS) to the OSDS System

Version 1.0 – October 2019

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The OSDS Project Team welcomes feedback and comments on this document, please contact us at:

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Acronym Definitions

Acronym	Definition
APD	All Payer Database
CHP	Child Health Plus
CO	Off-Exchange Commercial
DOH	New York State Department of Health
DSA	Data Submitter Agreement
EDI	Electronic Data Interchange
EIS	Encounter Intake System
EP	Essential Plan
LOB	Lines of Business
MMC	Medicaid Managed Care
NYSOH	New York State of Health
OHIP	Office of Health Insurance Programs
OSDS	Original Source Data Submitter
QHP	Qualified Health Plan
SFTP	Secure File Transfer Protocol
TPA	Trading Partner Agreement

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Version 1.0 – October 10, 2019

1. Overview and Purpose of Document

The information presented in this document is current as of the date indicated on the cover page and may be updated over time as the OSDS project enters testing, then moves to production.

APD Data Submitters that are required to migrate to the OSDS from the EIS are urged to familiarize themselves with this document to be fully informed on the most recent communications from the OSDS Project Team.

This document will continue to be updated and/or subsequently clarified during the development phase of the OSDS system. Every effort has been made to provide accurate and complete information. Edits, deletions or changes to this document will be maintained as updated versions of this document are released.

The OSDS Project Team welcomes questions and feedback to: apd.osds@health.ny.gov.

The OSDS Data Submitter Page is located on the NYS Health Connector:
https://nyshc.health.ny.gov/web/nyapd/apd-osds

2. Changes at a Glance

#	EIS	OSDS
1	TPA as part of Contract	**Data Submitter Agreement**; TPA as part of Contract remains in effect
2	EDI Registration with GDIT/CSRA	EDI Registration **with Optum**
3	SFTP Connection with GDIT/CSRA	SFTP Connection **with Optum**
4	Collect all encounter data	Collect all encounter data & **Off-Exchange Commercial Member Roster**
5	Medicaid and CHP transactions combined	Medicaid and CHP transactions **separated**
6	Processes 837, NCPDP Transactions	Processes 837, NCPDP, **834 X318** Transactions
7	Provides Tier 1 & 2 Editing	Provides Tier 1 & 2 Editing with **additions**, **changes and removals**
8	Sends Standard X12 responses: TA1, 999, 277CA responses	Sends Standard X12 responses: TA1, 999, **277DRA** responses
9	Proprietary responses: NCPDP: RxTA, RxFA, RxCA. X12: 837 RJ	Proprietary responses: NCPDP: **RJ**, RxTA, RxFA, RxCA **X12:** 837 RJ, **834 RJ**, **834 RL**

#	EIS	OSDS
10	Issuer Portal - CSRA/GDIT Connection	Data Submitter Portal through **NY.Gov** Connection
11	Issuer Portal Summary Reports in Excel	Data Submitter Portal Summary Reports in Excel using **Tableau**
12	Test Environment is Not Secure – No PHI or Member data can be submitted	Test Environment **Secure - PHI and Member Data can be submitted**

3. Migration Information

			OSDS Actions and Outputs		
#	EIS	OSDS	Current EIS	Current EIS with Off-Exchange Commercial	New Off-Exchange Commercial
1	TPAs are a required part of Issuer contracts. All APD Data Submitters migrating from the EIS will have executed TPAs in place. These TPAs will remain in place and in effect with the DOH throughout OSDS operations.	The OSDS Data Submitter Agreement (DSA) is specific to the OSDS. It does not replace the TPAs in place with DOH. All APD Data Submitters will be required to complete a DSA as part of the OSDS registration process.	Complete DSA as part of OSDS registration process. For EIS submitters, TPAs remain in place and in effect as part of Issuer contract.		
2	APD Data Submitters completed an EDI registration with GDIT. Registration allows GDIT to establish connectivity between Data Submitters and the EIS. Data Submitters are required to test the account credentials, and file transfer with the EIS.	APD Data Submitters will complete an EDI registration with Optum Government Solutions, Inc. Registration will allow Optum to establish connectivity between Data Submitters and the OSDS system. Data Submitters are required to test their account credentials, and file transfer with the OSDS.	Complete EDI registration with Optum Government Solutions, Inc.		
3	SFTP Connectivity with GDIT.	SFTP Connectivity with Optum.	Establish SFTP connection with Optum.		

#	EIS	OSDS	OSDS Actions and Outputs		
			Current EIS	Current EIS with Off-Exchange Commercial	New Off-Exchange Commercial
4	The EIS collects encounter data for MMC, EP, CHP and QHP.	The OSDS system will collect encounter data for MMC, EP, CHP and QHP and off-exchange commercial member roster and encounter data.	Submit encounter data for existing LOBs.	Submit encounter data for existing LOBs in addition to member roster and encounter data for off-exchange commercial.	Submit off-exchange commercial member roster and encounter data.
5	The EIS allows data from MMC and CHP LOBs to be submitted together in the same submission file.	Each LOB must be submitted in separate submission files.	Separate each LOB in its own submission file.		
6	The EIS processes PACDR encounter submissions. Specifically, the X12 837 (5010) X298, X299, X300 and NCPDP 4.2 transactions.	The OSDS will continue to process the same X12 837 (5010) X298, X299, X300 and NCPDP 4.2 PACDR encounter transactions for MMC, EP, CHP and QHP. The OSDS will now collect the X12 (5010) 834 X318 Member Roster transaction in addition to the X12 (5010) 837 X298, X299, X300, and NCPDP 4.2 encounter transactions from off-exchange commercial issuers.	Submissions made to the OSDS system post implementation.	Submissions made to the OSDS system post implementation. Submit a member roster and encounter submission for off-exchange commercial members.	Submit a member roster and encounter submission for off-exchange commercial members.
7	The EIS validates data submissions at a Tier 1 and Tier 2 level. Records that fail at the Tier 1 level result in an entire file being rejected. Records that fail at a Tier 2 level may result in the record being rejected. Edit dispositions have been created and applied for each Tier 2 edit. A hard edit disposition results in a rejection. A soft edit disposition results in an acceptance.	The OSDS system validates data submissions at a Tier 1 and Tier 2 level. Records that fail at the Tier 1 level result in an entire file being rejected. Records that fail at a Tier 2 level may result in the record being rejected. Edit dispositions have been created and applied for each Tier 2 edit. A hard edit disposition results in a rejection. A soft edit disposition results in an acceptance.	Some of the Tier 1 Edits applied in the EIS have been moved to Tier 2 in the OSDS. Some of the Tier 2 edits in the EIS have been removed in the OSDS. Additional Tier 2 edits have been created in the OSDS. The Tier 2 Edit Change Log available in the OSDS Information Library on the NYS Health Connector (https://nyshc.health.ny.gov/web/nyapd/information-library) outlines all the changes to Tier 2 edits between the EIS and OSDS.		

#	EIS	OSDS	OSDS Actions and Outputs		
			Current EIS	Current EIS with Off-Exchange Commercial	New Off-Exchange Commercial
8	The EIS produces X12 compliant responses to the inbound encounter submissions. These include the TA1 and 999 as a Tier 1 level response. The 277CA is sent as the Tier 2 level response.	The OSDS system will produce X12 compliant responses to the inbound encounter submissions. These include the TA1 and 999 as a Tier 1 level response. The 277DRA is sent as the Tier 2 level response.	Transition from the 277CA to the 277DRA response.		Receive the 277DRA response.
9	The EIS produces proprietary responses for the X12 and NCPDP transaction. NCPDP: RxTA, RxFA as Tier 1 and RxCA as Tier 2. X12: 837 RJ	The OSDS system will produce proprietary responses for both the X12 and NCPDP transactions. NCPDP: RJ, RxTA, and RxFA as Tier 1 and RxCA as Tier 2 response. For X12: 837 RJ, 834 RJ, 834 RL.	Receive an RJ for NCPDP and an 834 RJ, 834 RL for X12.		Receive RJ, RxTA, RxFA, RxCA for NCPDP; and 837 RJ, 834 RJ, 834 RL for X12.
10 & 11	The EIS uses a GDIT controlled Issuer Portal webpage and populates with issuer specific Excel summary files.	The OSDS system will use a NY.Gov controlled web interface to access issuer specific Tableau summary files. Summary files can be downloaded to csv or Excel.	Establish a NY.Gov connection. Transition from Excel-based only to Tableau-based feedback reports.		Establish a NY.Gov connection. Access to Tableau based feedback reports.
12	The EIS uses a non-secure testing platform and provides dummy member data to be used by all data submitters on this platform.	The OSDS system will use a secure testing platform. Data Submitters may use production data for testing.	Transition from using dummy data to production data on the secure test platform.		Use production data on the secure test platform.

4. Tier 2 Edit Disposition Matrix Change Log

Up to date and specific details on X12 837 Edit Differences and NCPDP Edit Differences between the EIS and the OSDS is maintained on the NYS Health Connector in the OSDS Information Library:

https://nyshc.health.ny.gov/web/nyapd/information-library

Title	Description	Details
X12 837 Edit Differences.	Listing of edits by LOB for Addition, Changes and Deletions.	X298 11 Additions, 4 Changes, 1 Deletion to current EIS Tier 2 Edits. X299 21 Additions, 3 Changes, 1 Deletion to current EIS Tier 2 Edits. X300 10 Additions, and 1 Change to current EIS Tier 2 Edits.
NCPDP Edit Differences.	Listing of new edits for NCPDP.	13 New Edits have been added to the OSDS System.

Change Log

The following describes the changes to the Questions and Answers document since first published.

Version	Date	Change
Version 1.0	October 2019	Initial Release